

Investor Complaint Data

- **Public Issue – Main Board (Including IPO, FPO and OFS)**

Table showing Investor Complaints Data for August 2024 (month ending)

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|----|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis):-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | April 2024 | 0 | 0 | 0 | 0 |
| 2 | May 2024 | 0 | 0 | 0 | 0 |
| 3 | June 2024 | 0 | 0 | 0 | 0 |
| 4 | July 2024 | 0 | 0 | 0 | 0 |
| 5 | August 2024 | 0 | 0 | 0 | 0 |
| 6 | September 2024** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

** The relevant period has not been completed

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|-----------|--------------------|---|--|--|--|
| 1 | 2024** | 0 | 0 | 0 | 0 |
| 2 | 2025** | 0 | 0 | 0 | 0 |
| 3 | 2026** | 0 | 0 | 0 | 0 |
| 4 | 2027** | 0 | 0 | 0 | 0 |
| 5 | 2028** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

** The relevant period has not been completed

- **Public Issue – SME Platform (Including IPO, FPO & OFS)**

Table showing Investor Complaints Data for August 2024 (month ending)

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|----|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis):-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | April 2024 | 0 | 0 | 0 | 0 |
| 2 | May 2024 | 0 | 0 | 0 | 0 |
| 3 | June 2024 | 0 | 0 | 0 | 0 |
| 4 | July 2024 | 0 | 0 | 0 | 0 |
| 5 | August 2024 | 0 | 0 | 0 | 0 |
| 6 | September 2024** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

** The relevant period has not been completed

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|-----------|--------------------|---|--|--|--|
| 1 | 2024** | 0 | 0 | 0 | 0 |
| 2 | 2025** | 0 | 0 | 0 | 0 |
| 3 | 2026** | 0 | 0 | 0 | 0 |
| 4 | 2027** | 0 | 0 | 0 | 0 |
| 5 | 2028** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

** The relevant period has not been completed

- Delisting of equity shares

Table showing Investor Complaints Data for August 2024 (month ending)

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|----|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | April 2024 | 0 | 0 | 0 | 0 |
| 2 | May 2024 | 0 | 0 | 0 | 0 |
| 3 | June 2024 | 0 | 0 | 0 | 0 |
| 4 | July 2024 | 0 | 0 | 0 | 0 |
| 5 | August 2024 | 0 | 0 | 0 | 0 |
| 6 | September 2024** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

** The relevant period has not been completed

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|-----------|--------------------|---|--|--|--|
| 1 | 2024** | 0 | 0 | 0 | 0 |
| 2 | 2025** | 0 | 0 | 0 | 0 |
| 3 | 2026** | 0 | 0 | 0 | 0 |
| 4 | 2027** | 0 | 0 | 0 | 0 |
| 5 | 2028** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

** The relevant period has not been completed

- Rights Issue

Table showing Investor Complaints Data for August 2024 (month ending)

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|----|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | April 2024 | 0 | 0 | 0 | 0 |
| 2 | May 2024 | 0 | 0 | 0 | 0 |
| 3 | June 2024 | 0 | 0 | 0 | 0 |
| 4 | July 2024 | 0 | 0 | 0 | 0 |
| 5 | August 2024 | 0 | 0 | 0 | 0 |
| 6 | September 2024** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

** The relevant period has not been completed

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|-----------|--------------------|---|--|--|--|
| 1 | 2024** | 0 | 0 | 0 | 0 |
| 2 | 2025** | 0 | 0 | 0 | 0 |
| 3 | 2026** | 0 | 0 | 0 | 0 |
| 4 | 2027** | 0 | 0 | 0 | 0 |
| 5 | 2028** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

** The relevant period has not been completed

- **Buyback of Securities**

Table showing Investor Complaints Data for August 2024 (month ending)

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|----|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | April 2024 | 0 | 0 | 0 | 0 |
| 2 | May 2024 | 0 | 0 | 0 | 0 |
| 3 | June 2024 | 0 | 0 | 0 | 0 |
| 4 | July 2024 | 0 | 0 | 0 | 0 |
| 5 | August 2024 | 0 | 0 | 0 | 0 |
| 6 | September 2024** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

** The relevant period has not been complete

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|-----------|--------------------|---|--|--|--|
| 1 | 2024** | 0 | 0 | 0 | 0 |
| 2 | 2025** | 0 | 0 | 0 | 0 |
| 3 | 2026** | 0 | 0 | 0 | 0 |
| 4 | 2027** | 0 | 0 | 0 | 0 |
| 5 | 2028** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

** The relevant period has not been completed

- **Qualified Institutions Placement (QIP)**

Table showing Investor Complaints Data for August 2024 (month ending)

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|----|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | April 2024 | 0 | 0 | 0 | 0 |
| 2 | May 2024 | 0 | 0 | 0 | 0 |
| 3 | June 2024 | 0 | 0 | 0 | 0 |
| 4 | July 2024 | 0 | 0 | 0 | 0 |
| 5 | August 2024 | 0 | 0 | 0 | 0 |
| 6 | September 2024** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

** The relevant period has not been completed

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|-----------|--------------------|---|--|--|--|
| 1 | 2024** | 0 | 0 | 0 | 0 |
| 2 | 2025** | 0 | 0 | 0 | 0 |
| 3 | 2026** | 0 | 0 | 0 | 0 |
| 4 | 2027** | 0 | 0 | 0 | 0 |
| 5 | 2028** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

** The relevant period has not been completed

- **Preferential Issue:**

Table showing Investor Complaints Data for August 2024 (month ending)

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^\ (In days) |
|----|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|-------------------------------------|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | April 2024 | 0 | 0 | 0 | 0 |
| 2 | May 2024 | 0 | 0 | 0 | 0 |
| 3 | June 2024 | 0 | 0 | 0 | 0 |
| 4 | July 2024 | 0 | 0 | 0 | 0 |
| 5 | August 2024 | 0 | 0 | 0 | 0 |
| 6 | September 2024** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

** The relevant period has not been completed

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|-----------|--------------------|---|--|--|--|
| 1 | 2024** | 0 | 0 | 0 | 0 |
| 2 | 2025** | 0 | 0 | 0 | 0 |
| 3 | 2026** | 0 | 0 | 0 | 0 |
| 4 | 2027** | 0 | 0 | 0 | 0 |
| 5 | 2028** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

** The relevant period has not been completed

- **Substantial Acquisitions of Shares and Takeovers:**

Table showing Investor Complaints Data for August 2024 (month ending)

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|----|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | April 2024 | 0 | 0 | 0 | 0 |
| 2 | May 2024 | 0 | 0 | 0 | 0 |
| 3 | June 2024 | 0 | 0 | 0 | 0 |
| 4 | July 2024 | 0 | 0 | 0 | 0 |
| 5 | August 2024 | 0 | 0 | 0 | 0 |
| 6 | September 2024** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

** The relevant period has not been completed

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|-----------|--------------------|---|--|--|--|
| 1 | 2024** | 0 | 0 | 0 | 0 |
| 2 | 2025** | 0 | 0 | 0 | 0 |
| 3 | 2026** | 0 | 0 | 0 | 0 |
| 4 | 2027** | 0 | 0 | 0 | 0 |
| 5 | 2028** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

** The relevant period has not been completed

- **Public Issue – Debt Securities**

Table showing Investor Complaints Data for August 2024 (month ending)

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|----|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | April 2024 | 0 | 0 | 0 | 0 |
| 2 | May 2024 | 0 | 0 | 0 | 0 |
| 3 | June 2024 | 0 | 0 | 0 | 0 |
| 4 | July 2024 | 0 | 0 | 0 | 0 |
| 5 | August 2024 | 0 | 0 | 0 | 0 |
| 6 | September 2024** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

** The relevant period has not been completed

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|-----------|--------------------|---|--|--|--|
| 1 | 2024** | 0 | 0 | 0 | 0 |
| 2 | 2025** | 0 | 0 | 0 | 0 |
| 3 | 2026** | 0 | 0 | 0 | 0 |
| 4 | 2027** | 0 | 0 | 0 | 0 |
| 5 | 2028** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

- Private placement of debt securities and non-convertible redeemable pref shares:

Table showing Investor Complaints Data for August 2024 (month ending)

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|----|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | April 2024 | 0 | 0 | 0 | 0 |
| 2 | May 2024 | 0 | 0 | 0 | 0 |
| 3 | June 2024 | 0 | 0 | 0 | 0 |
| 4 | July 2024 | 0 | 0 | 0 | 0 |
| 5 | August 2024 | 0 | 0 | 0 | 0 |
| 6 | September 2024** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

** The relevant period has not been completed

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|-----------|--------------------|---|--|--|--|
| 1 | 2024** | 0 | 0 | 0 | 0 |
| 2 | 2025** | 0 | 0 | 0 | 0 |
| 3 | 2026** | 0 | 0 | 0 | 0 |
| 4 | 2027** | 0 | 0 | 0 | 0 |
| 5 | 2028** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

** The relevant period has not been completed

- **Public Issue of non-convertible redeemable preference shares:**

Table showing Investor Complaints Data for August 2024 (month ending)

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|----|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | April 2024 | 0 | 0 | 0 | 0 |
| 2 | May 2024 | 0 | 0 | 0 | 0 |
| 3 | June 2024 | 0 | 0 | 0 | 0 |
| 4 | July 2024 | 0 | 0 | 0 | 0 |
| 5 | August 2024 | 0 | 0 | 0 | 0 |
| 6 | September 2024** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

** The relevant period has not been completed

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|-----------|--------------------|---|--|--|--|
| 1 | 2024** | 0 | 0 | 0 | 0 |
| 2 | 2025** | 0 | 0 | 0 | 0 |
| 3 | 2026** | 0 | 0 | 0 | 0 |
| 4 | 2027** | 0 | 0 | 0 | 0 |
| 5 | 2028** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

** The relevant period has not been completed

- Public issue of units by INVITs:

Table showing Investor Complaints Data for August 2024 (month ending)

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|----|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | April 2024 | 0 | 0 | 0 | 0 |
| 2 | May 2024 | 0 | 0 | 0 | 0 |
| 3 | June 2024 | 0 | 0 | 0 | 0 |
| 4 | July 2024 | 0 | 0 | 0 | 0 |
| 5 | August 2024 | 0 | 0 | 0 | 0 |
| 6 | September 2024** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

** The relevant period has not been completed

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|-----------|--------------------|---|--|--|--|
| 1 | 2024** | 0 | 0 | 0 | 0 |
| 2 | 2025** | 0 | 0 | 0 | 0 |
| 3 | 2026** | 0 | 0 | 0 | 0 |
| 4 | 2027** | 0 | 0 | 0 | 0 |
| 5 | 2028** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

** The relevant period has not been completed

- **Public issue of units by REITs:**

Table showing Investor Complaints Data for August 2024 (month ending)

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|----|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | April 2024 | 0 | 0 | 0 | 0 |
| 2 | May 2024 | 0 | 0 | 0 | 0 |
| 3 | June 2024 | 0 | 0 | 0 | 0 |
| 4 | July 2024 | 0 | 0 | 0 | 0 |
| 5 | August 2024 | 0 | 0 | 0 | 0 |
| 6 | September 2024** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

** The relevant period has not been completed

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|-----------|--------------------|---|--|--|--|
| 1 | 2024** | 0 | 0 | 0 | 0 |
| 2 | 2025** | 0 | 0 | 0 | 0 |
| 3 | 2026** | 0 | 0 | 0 | 0 |
| 4 | 2027** | 0 | 0 | 0 | 0 |
| 5 | 2028** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

** The relevant period has not been completed

- Private placement of units by INVITs:

Table showing Investor Complaints Data for August 2024 (month ending)

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|----|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | April 2024 | 0 | 0 | 0 | 0 |
| 2 | May 2024 | 0 | 0 | 0 | 0 |
| 3 | June 2024 | 0 | 0 | 0 | 0 |
| 4 | July 2024 | 0 | 0 | 0 | 0 |
| 5 | August 2024 | 0 | 0 | 0 | 0 |
| 6 | September 2024** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

** The relevant period has not been completed

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|-----------|--------------------|---|--|--|--|
| 1 | 2024** | 0 | 0 | 0 | 0 |
| 2 | 2025** | 0 | 0 | 0 | 0 |
| 3 | 2026** | 0 | 0 | 0 | 0 |
| 4 | 2027** | 0 | 0 | 0 | 0 |
| 5 | 2028** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

** The relevant period has not been completed

- **Municipal Debt Securities (Private Placements):**

Table showing Investor Complaints Data for August 2024 (month ending)

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|----|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | April 2024 | 0 | 0 | 0 | 0 |
| 2 | May 2024 | 0 | 0 | 0 | 0 |
| 3 | June 2024 | 0 | 0 | 0 | 0 |
| 4 | July 2024 | 0 | 0 | 0 | 0 |
| 5 | August 2024 | 0 | 0 | 0 | 0 |
| 6 | September 2024** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

** The relevant period has not been completed

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|-----------|--------------------|---|--|--|--|
| 1 | 2024** | 0 | 0 | 0 | 0 |
| 2 | 2025** | 0 | 0 | 0 | 0 |
| 3 | 2026** | 0 | 0 | 0 | 0 |
| 4 | 2027** | 0 | 0 | 0 | 0 |
| 5 | 2028** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

** The relevant period has not been completed

- **Non-Convertible Securities (Private Placements)**

As per SEBI circular no. SEBI/HO/DDHS/P/CIR/2021/0669 dated November 26, 2021, “it is not mandatory for the issuer to appoint a merchant banker or any other entity as advisor or arranger for the private placement of debt and even if appointed, they are NOT involved in the entire process of issuance and hence the investors will have to take up their grievance/s directly with the Company”. Hence publishing of investor grievances for private placement of Non-Convertible Securities is not applicable.

Primary Market Issues and Exit Offers#

Investors Complaints Data [Aggregate#]

1. Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS)
2. Rights Issue
3. Qualified Institutions Placement (QIP)
4. Preferential Issue
5. SME IPO and FPO including OFS
6. Buyback of Securities
7. Delisting of Equity Shares
8. Substantial Acquisitions of Shares and Takeovers

Table showing Investor Complaints Data for August 2024 (month ending)

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^\ (in days) |
|----|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|-------------------------------------|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | April 2024 | 0 | 0 | 0 | 0 |
| 2 | May 2024 | 0 | 0 | 0 | 0 |
| 3 | June 2024 | 0 | 0 | 0 | 0 |
| 4 | July 2024 | 0 | 0 | 0 | 0 |
| 5 | August 2024 | 0 | 0 | 0 | 0 |
| 6 | September 2024** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

** The relevant period has not been completed

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|-----------|--------------------|---|--|--|--|
| 1 | 2024** | 0 | 0 | 0 | 0 |
| 2 | 2025** | 0 | 0 | 0 | 0 |
| 3 | 2026** | 0 | 0 | 0 | 0 |
| 4 | 2027** | 0 | 0 | 0 | 0 |
| 5 | 2028** | 0 | 0 | 0 | 0 |
| | Grand Total | | | | |

** The relevant period has not been completed

Debt Market - Investors Complaints Data [Aggregate#] #

1. Public issue of debt securities
2. Public issue of non-convertible redeemable preference shares
3. Private placement of debt securities and non-convertible redeemable pref shares

Note: Srujan Alpha Capital Advisors LLP, in its capacity as the SEBI registered Merchant Banker, has not managed any Public Issue of Debt Securities, non-convertible redeemable preference shares or Private placement of debt securities and non-convertible redeemable preference shares, as on date.

Hence, Srujan Alpha Capital Advisors LLP, in its capacity as the SEBI registered Merchant Banker, has not received any complaints.

Data for every month ending

Not Applicable

Monthly trend for the financial year

Not Applicable

Last 3 years' trend

Not Applicable

Public Issue of REITs & InvITs - Investors Complaints Data [Aggregate#]

Note: Srujan Alpha Capital Advisors LLP, in its capacity as the SEBI registered Merchant Banker, has not managed any Public Issue of REITs or InvITs, as on date.

Hence, Srujan Alpha Capital Advisors LLP, in its capacity as the SEBI registered Merchant Banker, has not received any complaints.

Data for every month ending

Not Applicable

Monthly trend for the financial year

Not Applicable

Last 3 years' trend

Not Applicable